

List of deficiencies in Connectivity/GNA applications and associated documents/requests

[Rev- 2, W.e.f. 01/08/2026]

Document Type	Minor Deficiencies for which application will be reverted	Major Deficiencies for which application will be closed without revert and 20% application fee shall be forfeited as per regulation
1. Application Form	i. Incorrect “Nature of applicant” has been opted in the application. ii. Breakup of energy source is not mentioned in application form. iii. Opting “Yes” in the field "Whether generating station is already connected to or intending to connect to intra-state transmission system?" in the application even if same is not applicable. iv. In case of entity connected to or intending to connect to intra-state transmission system, the copy of the application already made to STU or Intimation of Grant issued by STU to establish the quantum of Connectivity granted/sought to Intra-State transmission system is not submitted. v. Applying for connectivity to ISTS for the same capacity which is already connected to Intra-State Transmission System without submitting application/letter to STU or NoC of STU regarding surrendering of the STU connectivity on getting connected to ISTS. vi. Opting “Yes” in both the fields “Whether applied under Regulation 5.6 (sharing of terminal bay or the switchyard and the dedicated transmission lines, if any)” or “Whether connectivity applied under Regulation 5.7 (Two or more applicant sharing the common Dedicated Transmission Lines and terminal bay(s))” in the application. vii. For hybrid project where connectivity sought is less than installed capacity or application submitted under regulation 5.2 but undertaking regarding grid code compliance is not submitted with application: viii. Breakup of Solar / Wind / ESS components of the project is not mentioned in the application in case of Hybrid or REGS with Storage ix. Mismatch in the details mentioned in the application vis-a-vis actual documents x. Incomplete or unreadable copy of documents or missing pages in the documents submitted with application including land documents. xi. Submission of application under category ".... with ESS" without giving details of ESS component. xii. Submission of application under Reg. 5.7 instead of Reg. 5.6 for sharing the common Dedicated Transmission Lines and terminal bay with other	i. Digital signature of the applicant is not mapped with the applicant company or name of company mapped with digital signature is different from the name of company mentioned in the application form. ii. Digital Signature is not Class 3 in nature. iii. Application submitted by a person not authorized through Board Resolution iv. Applying under Reg. 5.7 but all entities have not applied within same month v. Non-submission of following mandatory documents (as applicable): a. Board Resolution, in case of company (as per Regulation 5.8(v)) b. Affidavit (as per Regulation 5.8) c. LoA/PPA as applicable (as per Regulation 5.8(xi)(a)) (not applicable for technical compliance application under Reg. 5.2) d. Land Documents (as per Regulation 5.8(vii)(b) & 5.8(xi)(b)), Title Report or Land Undertaking (as per Para 3.2.2 of advisory) (not applicable for technical compliance application under Reg. 5.2) e. Land BG (as per Regulation 5.8(vii)(c) & 5.8(xi)(c)) (not applicable for technical compliance application under Reg. 5.2) f. RPPD Authorization Certificate (as per Regulation 5.8(vii)(a)) (not applicable for technical compliance application under Reg. 5.2) g. Sharing agreement (Format- CONN-SHARE as per Regulation 5.6 and 5.7), or Lead Generator agreement (Format- CONN-LEAD as per Regulation 4.1) h. NoC from STU in case of Intra state connected entity (not seeking Connectivity to ISTS) (as per Para 4.2 of advisory)

	applicant in case other applicant had already applied connectivity in previous months. xiii. Application and all other requisite documents have not been signed by same authorised person. xiv. In case of enhancement application, opting the “Nature of Applicant” different from the original application. xv. Missing mandatory details which are required to be filled in the application. xvi. The coordinates provided in the application for the project location is inside a densely populated area or over the sea, making it unsuitable for project development. xvii. Applicant has not accepted the declarations in the application form. xviii. Digital Signature applied on the application is shown as invalid. xix. Max Injection, Max Drawl, MWh not mentioned for REGS with Storage or standalone ESS. xx. For Solar Hour Access, the “Nature of Non-Solar Hour Access” is chosen other than “NA”. xxi. Promoter details and shareholding pattern of the applicant company (not applicable for technical compliance application under Reg. 5.2) xxii. Tentative Generation and drawal profile (not applicable for technical compliance application under Reg. 5.2) xxiii. Applying under Reg. 4.1(e) or 5.6 or 5.11(a)(ii) or 17.3, but in-principle or final grant not yet issued to the principal entity or GNA has not been made effective, as the case may be, with whom sharing is to be done	i. CEA Unique Registration certificate (as per Regulation 5.8(vi)) vi. Submission of application under Reg. 5.2 by an entity who has not yet been granted / applied for connectivity to ISTS.
2. Affidavit	i. Stamp paper is not purchased in the name of applicant company ii. Affidavit is not as per FORMAT-AFFIDAVIT available on CTU website. iii. Affidavit has not been notarized. iv. The name of applicant company mentioned in affidavit is incorrect at one place. However, the name of applicant company is correctly mentioned at all other places in the affidavit. v. Para 5 of affidavit regarding land rights has been strike off or deleted in case of application being submitted on Land Route or Land BG Route. vi. Special adhesive stamp / revenue stamp on plain paper, has been used instead of non-judicial stamp paper in the affidavit.	i. Affidavit has already been used in a previous application irrespective of its status (processed/closed) ii. Affidavit has not been signed or has been signed by a different person other than that who has digitally signed the application. iii. Company name mentioned in affidavit is different from applicant name of company.
3. Board Resolution	i. The date of Board Resolution submitted with the application and the one referred in Affidavit are different.	i. The Board Resolution does not authorize the person to file the application on behalf of applicant company.

	ii. Digital signature of certifying authority on Board Resolution is not verifiable OR Physical signature of certifying authority is not present iii. Resolution passed by sub-committee of Board of Directors or authorization letter has been submitted; however, Board's authorization accorded to the sub-Committee/person issuing authorization letter has not been submitted. iv. Quantum indicated in the BR is less than the cumulative quantum of the applications submitted against such Board resolution. v. Board Resolution has been issued in the name of a company which does not exist.	ii. Board Resolution pertains to specific project other than the project for which application has been submitted. iii. Board Resolution pertains to an existing company other than applicant company.
4. CEA Unique Registration certificate	i. CEA Unique Registration certificate has been submitted with application, but its number is not mentioned in application form. ii. The installed capacity (Solar/Wind/BESS) mentioned in certificate is different than capacity mentioned in application form. iii. CEA Certificate has already been used in a previous application (not applicable for Enhancement in Connectivity applications or applications under Reg. 5.2 of CERC GNA Regulation). iv. CEA Registration certificate is not in name of applicant company. (not applicable if application is applied under 5.2 of CERC GNA Regulation and generating entity is other than applicant company)	
5. Authorization Certificate	i. There is mismatch in details (such as name of RPPD, location of park etc) provided in the application and RPPD authorization certificate. ii. Any rectification required in the RPPD authorization certificate.	i. Authorization pertains to a project/ Company other than the project / company for which application has been submitted. ii. Application submitted for lesser quantum than the authorized quantum, however, if the quantum of multiple applications submitted on the same day becomes equal to authorized quantum, the same may be accepted.
6. LoA/PPA	i. Connectivity has been sought more than eligible quantum as per LOA/PPA. ii. LoA has been issued on RTC basis for multi located REGS projects, however, details of each location and capacity at each location duly certified by REIA/distribution licensee has not been submitted. iii. In case of submission of an amendment/addendum to the LOA, original LoA along with all previous amendments/addendums have not been submitted. iv. Different project location in the LoA and the application.	i. PPA/LOA for full quantum has already been used in a previous Connectivity application(s) ii. LOA/PPA issued in the name of company other than applicant company has been submitted. iii. LoA/PPA has been submitted from an entity other than REIA/Distribution Licensee/ authorized agency on behalf of Distribution licensee / Central Govt. Approved third party which is acting as an authorised representative of generation station (other than REGS replacing its scheduled generation by power supplied

		from REGS), consequent to Tariff Based Competitive Bidding.
7. Land Bank Guarantee		i. The amount of Land BG is less than the requisite amount as per Regulation. ii. Stamp paper in the Land Bank Guarantee has not been purchased in the name of issuing bank. iii. Land BG has not been issued by any scheduled commercial bank recognised by the Reserve Bank of India. iv. Submission of Land BG which is already associated with an existing application or has been discharged by CTUIL
8. Land documents	i. Notarized English or Hindi translations are not submitted along with the documents which are not in English or Hindi language. ii. There are some mismatches or missing details in Title report/ Land Undertaking via-a-vis land documents. iii. Capacity of the individual wind turbines considered for the project for calculation of the land requirement and plotting of wind turbine generators (WTGs) on the land parcels indicating the inter-WTG distance is not submitted. iv. The land agreement bearing the signature of same person on behalf of both first and second party OR signed by third party on the basis of POA, has been submitted without valid Power of Attorney or due authorisation for entering into the said agreement. v. Land Use Right Agreement not in line with format available at CTU website vi. 'Title Report' is not as per FORMAT-TITLE-REPORT available on CTU website. vii. Land undertaking is not as per FORMAT-UD-LAND available on CTU website. viii. Minimum land requirement indicated in the land undertaking is not in line with Detailed Procedure. ix. Unique Registration Number and Date of Registration of the land document are not available in some of the land documents. x. Land rights do not correspond with the useful life of the project. xi. Title verification under Title Report is not for minimum 30 years period. xii. Land documents not having any reference or proof regarding handing over of physical possession of land at the time of application	i. Some/all the land documents have been used in a previous Connectivity application except in cases where the land submitted earlier was beyond the 50% of land required (as per Reg. 5.9) for project or in case of BESS project being installed within another project with requisite land demarcation. ii. Documents corresponding to minimum land requirement for different type of RE projects as per Detailed Procedure not submitted. iii. Any other document towards land not specified in the GNA Regulations such as Sub-lease deed)/ Leave and license agreement / Power of attorney / Agreement to Lease / Agreement to Sale / MoU etc has been submitted as part of land documents iv. Title Deed / Lease Deed / Land Use Rights documents not registered with appropriate authority. v. Land documents having reference to possession of land on a date later than the date of application.

	xiii. Land documents are not paginated or submitted in order of Appendix-I of Title Report. xiv. Digital signature in the Undertaking or Title Report is not verifiable, and it's not physically signed as well. xv. Title Report is not counter-signed by the applicant. xvi. Advocate's enrolment/registration number is not stated in the Title Report. xvii. Submission of the Govt. Orders without any Advance Possession letter or Possession Certificates. - xviii. Mismatch in the project type mentioned in the land documents and the application xix. Submission of land documents previously used in another application (i.e. the land submitted beyond the 50% of land required (as per Reg. 5.9) for previous project or in case of BESS project being installed within another project) without the details of segregated land parcels for present project. xx. In case of BESS project, submission of land documents that have already been submitted or utilized for any other type of project, without a declaration for using the segregated land in case of the BESS installed by the existing entity, or a NOC of the exiting entity for using the segregated land, or an agreement for use of the segregated land by the BESS project in case the BESS is being installed by the other entity. xxi. The notarized affidavit on non-judicial stamp paper from the translator towards accuracy of the contents of the translated documents, in line with Clause 5(ix)(n)(iii) of the Detailed Procedure available at CTU website has not been submitted.	
9. NOC	i. NOC from concerned STU has not been submitted as per format. ii. Mismatch in quantum, company name, duration etc in NOC vis-a-vis application.	
10. Sharing/Lead Agreement	i. Agreement is not in line with model format available at CTU website. ii. Agreement has not been executed on non-judicial stamp paper. iii. Signature of any party is missing on the agreement. iv. In case of Connectivity enhancement application or application under Reg. 5.2, sharing agreement with revised installed capacity or enhanced connectivity quantum has not been submitted.	

Additional points:

1. In case application has been reverted due to minor deficiencies and applicant changes the application Route in the revised application, the same shall be treated as major deficiency and application shall be closed.
2. Application will not be reverted on account of minor errors in Land BG.
3. If a deficiency which is not covered in any of the above lists is found during scrutiny of the application, it will be categorized as either major or minor depending on the nature of the discrepancy and appropriate action will be initiated upon first occurrence. CTU will add this newly identified deficiency to the appropriate list above and update the same on CTU website. Updated list shall be made effective from the subsequent month from the month in which the list has been uploaded on CTU website.
4. Revised application shall be closed if the following documents (which may affect the eligibility of the applicant) enclosed in the revised application have been executed after the date of original application:
 - a. Sale Deed/Lease Deed/Right to Use Agreement/GO or Advance Possession letter
 - b. LoA/PPA
 - c. Land BG
 - d. Authorization certificate towards RPPD

